



1. Assessment plan 稽核計畫

Assessment type Surveillance/定期稽核 SV2	Assessment criteria ISO14001:2015/ISO/CNS45001:2018/TOSHMS 特定要求事項 Applicable legal requirements 適用法規要求 Company's system documents 公司系統文件	
Assessment team 稽核小組 蕭汎翊	Assessment dates 稽核時間 Apr/09~10/2025	Issue date 發佈日期 Oct/07/2024

Company name :	嵩贊油封工業股份有限公司
Assessment location:	南投市南崗工業區工業東路 6 號
Assessment scope:	Manufacture of industrial oil seals. 工業油封製造
Purpose of the assessment:	a) determination of the conformity of the client's management system, or parts of it, with audit criteria; b) determination of the ability of the management system to ensure the client meets applicable statutory, regulatory and contractual requirements; NOTE A management system certification audit is not a legal compliance audit. c) determination of the effectiveness of the management system to ensure the client can reasonably expect to achieving its specified objectives; d) as applicable, identification of areas for potential improvement of the management system. a) 確定客戶管理系統或其部分與審核準則的符合性; b) 確定管理體系確保客戶符合適用的法律、法規及合約要求的能力; 註:管理體系認證審核不是合規性審核。 c) 確定管理系統在確保客戶可以合理預期實現其規定目標方面的有效性; d) 適用時, 識別管理系統的潛在改善區域。
Language during assessment:	中文
Language of assessment report:	中文

(Date 日期)	Day 1), Location 地點: 南投 · Delivery 稽核型態: Onsite 現場
0900-0930	Introductory meeting with management to explain the scope of the audit, audit methodology, method of reporting and to discuss the organisation (approx.30 min). 首次會議與管理階層解釋稽核範圍、稽核方法、報告方法並討論客戶的組織架構(大約 30 分鐘)
0930-1000	Discussion of all outstanding issues from previous audits. 討論前次稽核遺留議題

1000-1200	組織背景狀況的變化、管理審查、內部稽核、持續改進、變更管理(系統與組織)、矯正措施、抱怨管理、客戶管理系統目標相關績效、驗證標誌使用。 ESP1 經營管理流程 ESP1 經營管理流程 ESP8 稽核管理流程 ESP9 績效與持續改善管理流程 ISO 14001+ISO/CNS 45001
1200-1300	Lunch.午餐與討論
1300-1530	ESP3 法規鑑定管理流程 TOSHMS Check list
1530-1600	Report writing and follow up of open issues. 報告撰寫與追蹤尚未解決的議題
1600-1700	Feedback 回饋
17.00	Close of the audit day.結束當日稽核

(Date 日期)	Day 2), Location 地點: 南投, Delivery 稽核型態: Onsite 現場.
0900-0930	Review of findings from previous day. Review of the assessment plan for the day. (晨會) 回顧前一天的發現，再次確認當天的稽核計畫。
0930-1200	ESP6 環境及安全衛生運作與規劃控制流程
1200-1300	Lunch. 午餐與討論
1300-1530	ESP7 緊急應變處理流程 ESP5 文件與環安紀錄管理流程
1530-1600	Report writing and follow up of open issues. Preparation of final report 報告撰寫與追蹤尚未解決的議題.準備最終報告
1600-1700	Closing Meeting 結束會議
1700	Close of the audit day. 結束稽核.

Note; Information on the objectives of the various visits can be found in the Client Information included in the report or on our website www.lrqa.com. Furthermore on the website there are Client Information Notes available for the various visit types. The audit criteria and team members date and locations are also stated on the front page of the report. Scope of certification and roles and responsibilities of the audit team members are expressed in the Audit Program Plan.

注：不同訪問的目的資訊可以在本報告中的客戶資訊或在 www.lrqa.com 網站中查詢。另外，在網站中有**客戶資訊需知**包含不同類型的訪問。稽核準則和稽核組成員、日期和地址在本報告的首頁中聲明，並由客戶服務部門與客戶確認。認證範圍和稽核組的作用和職責在稽核方案/計畫中明確。